

# INVESTMENT ADVISOR REPRESENTATIVE BROCHURE SUPPLEMENT

**Brian Washa**

**7800 Redbud Creek Dr.**

**Edmond, OK, 73034**

**(405)203-0295**

**Independent Financial Partners**

**3030 North Rocky Point Drive West**

**Suite 700**

**Tampa, FL 33607**

**813-341-0960**

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This brochure supplement provides information about Brian Washa that supplements the Independent Financial Partners' brochure. You should have received a copy of that brochure. Please contact Independent Financial Partners' Compliance Department at 813-341-0960 if you did not receive Independent Financial Partners' brochure or if you have any questions about the contents of this supplement.

Additional information about Brian Washa is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Shepherd Wealth Advisory

Brian Washa conducts the above investment related activities and may also conduct other business or investment related activities under the Doing Business As (“DBA”) name of Shepherd Wealth Advisory. Shepherd Wealth Advisory and Independent Financial Partners are not affiliated.

## Item 2 - Educational Background and Business Experience

**Full Name:** Brian Washa

**Birth Year:** 1985

### Education:

Bachelor’s Degree, Business Management & Entrepreneurship, University of Oklahoma, 2007

### Business Background:

May 2019 - Present, Investment Advisor Representative, Independent Financial Partners

May 2007 - May 2019, Investment Advisor Representative, Rockgate Financial Partners

June 2003 - May 2007, Insurance Agent, Senior Estate Preservation Inc.

## Item 3 - Disciplinary Information

Brian Washa has no disciplinary actions, orders, regulatory actions or regulatory disclosure events to disclose.

## Item 4 – Other Business Activities

There are certain business activities in which an investment advisor representative may engage that present potential conflicts of interest. If applicable, additional disclosure relevant to your IAR’s outside business activities are outlined below. Please note that these are potential conflicts of interest and it is your IAR’s Fiduciary duty to act in your best interest. If you have questions about the disclosures, please ask your IAR as this is an opportunity to better understand your relationship and your IAR’s activities.

### **Registered Representative of a Broker-Dealer**

Your IAR is also a registered representative of Independent Financial Partners in its capacity as a broker-dealer. If you elect to have your IAR implement the advice provided as part of the financial planning or consulting services, this is done in his or her separate capacity as a registered representative of a broker-dealer. Your IAR could receive fees for the advice and could also receive commissions, including 12b-1, annual marketing and distribution fees for implementing the recommendations in this separate capacity. It provides incentive to recommend investment products based on the compensation received, rather than on your needs. You are not obligated to implement the advice provided by your IAR or to implement transactions through us as a broker-dealer. You are free to select any broker-dealer or registered representative to implement the recommendations provided by your IAR. However, if you choose to establish an account with your IAR, it is important to understand that due to regulatory constraints, your IAR must place all purchases and sales of securities products in commission-based accounts through Independent Financial Partners or other institutions approved by Independent Financial Partners.

### **Item 5 - Additional Compensation**

Certain product sponsors may provide your IAR with economic benefits as a result of your IAR's recommendation or sale of the product sponsors' investments. The economic benefits received can include but are not limited to, financial assistance or the sponsorship of conferences and educational sessions, marketing support, incentive awards, payment of travel expenses, and/or tools to assist your IAR in providing various services to clients. These economic benefits may be received directly by your IAR or indirectly through Independent Financial Partners when Independent Financial Partners has entered into specific arrangements with product sponsors. These economic benefits could influence your IAR to recommend certain products/programs over others.

When referring clients to third party money managers, Independent Financial Partners receives a portion of the fees generated from the referral. Since each money manager has a separate agreement with Independent Financial Partners, each agreement will have a different percentage of the fee paid to Independent Financial Partners. That fee is shared with your representative. This provides an incentive for representatives to recommend one money manager over another one, creating a conflict of interest.

Your IAR received transition assistance in the form of a forgivable note. The forgivable note is a combined amount, used for both broker-dealer and RIA costs. This assistance includes but is not limited to technology services, administrative support, marketing costs or reimbursement of fees associated with moving accounts. The forgivable note presents a conflict of interest as it incentivizes your IAR to maintain a relationship with Independent Financial Partners for the duration of the note and/or to choose Independent Financial Partners over another firm.

Your IAR received a one-time grant of Independent Financial Partners private stock. While your IAR does not act as an officer of Independent Financial Partners there is a percentage of ownership and the ability to participate in Independent Financial Partners' overall profits. Your IAR is eligible to participate in the grant program due to their affiliation as a registered representative or IAR of Independent Financial Partners. This arrangement is a conflict of interest in that it can incentivize your IAR to make decisions in the interest of the firm versus the interest of the client.

## Item 6 – Supervision

Chris Hamm, President and Chief Operating Officer, and Derek Wilkes, Chief Compliance Officer, are responsible for supervising the activities of Independent Financial Partners. Their roles include enforcing supervising accounts, trades, transaction compliance and enforcement of Independent Financial Partners Compliance policies. Both Mr. Hamm and Mr. Wilkes can be contacted at 813-341-0960.